

Internal Control for Small & Medium Size Enterprises

4 Sept 08 (Singapore), 9am to 5pm

This one-day seminar addresses the challenges in establishing sound internal control in small and medium-sized companies and develops a comprehensive approach for implementation. It will cover the practice guidance issued by the COSO organization entitled "Internal control over Financial Reporting: Guidance for Smaller Companies".

The Seminar covers the following topics:

- * Clarifying the potential benefits of internal control to smaller firms
- * Problems in implementation of internal controls in the case of SMEs and how to mitigate them
- * Fundamental principles and attributes of internal control
- * Challenges in implementation through a few "success stories"
- * Evaluation tools of internal control
- * Regulatory aspects of internal control in Mainland China

It will particularly help organizations without an internal audit function conduct internal control reviews, either regulatory or at the request of management, in the context for example of pre or post-merger acquisition or the post-implementation of an ERP system.

Syllabus

I. Why businesses need internal control?

- Case study
- Growth constraints
- Case of SMEs
- Regulatory aspects
- Recent international trends

II. Internal control definitions & frameworks

III. Cost-effective approach to internal control

- Top-down risk-based approach
- Internal control principles and attributes

Conducting internal control reviews

- Planning the audit engagement
- Performing on-site audit works
- Communicating results & reporting
- Tools & techniques
- Reporting audit findings

IV. Dealing with documentation

- Business control frameworks
- COSO templates

V. Impacts of IT

ORGANISED BY



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7 CPE / CPD
verifiable hours will be awarded



About the Trainer: **Jean-Yves Le Corre**

Jean-Yves is a professional trainer, lecturer and international consultant in the field of internal auditing, corporate governance and risk management in Asia.

Jean-Yves has more than ten years experience in large multinational corporations in finance and auditing as well as in management consulting. In parallel to his responsibilities being the Head of Internal Audit for Heineken in Paris, he was also a professional trainer at the Institute of Internal Auditing in Paris (IFACI) as well as a part-time lecturer at ESCP EAP European School of Management. He is a Sloan Fellow from London Business School (2005) and holds a Msc. in Management from the University of London (U.K). He is a Certified Internal Auditor, a member of the Institute of Internal Auditors (IIA) and the Chartered Institute of Management Accountants (CIMA).

Jean-Yves is the Founder of InterauditAsia Co. Ltd and regularly conducts training seminars to senior and middle-level executives. He is a lecturer on corporate governance in China. His clients include Carrefour China and Dongfeng Peugeot Citroen Automobiles (Wuhan), etc... He is a regular speaker at conferences and colloquiums on internal control, governance and risk management topics throughout Asia.

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Location of workshop	# of Days	Date	Time	CPE/CPD Hrs	Fees (Early Bird) **		Fees (Normal)		Early Bird
☐ Singapore	1 Day	4 Sep 08	9am - 5pm	7 hours	S\$450 (M)	S\$540 (P)	S\$540 (M)	S\$630 (P)	4 Aug 08

* (M) denotes members of ACCA or FPCI only, (P) denotes members of the public

** Payment has to be settled on or before the Early Bird Deadline to enjoy the Early Bird Rate

Company / Personal Details

Name of Attendee	Designation	Contact No	Email Address	Membership #	Amount(\$)
1					
2					
3					
Total Amount (\$):					

Booking Contact

Name: Company: Position:
 Address: Postal Code:
 Tel(O): (H): (HP): (Fax): Email:

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Invoice Required
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 371 Beach Road, #02-08B Keypoint,
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 Account Name: FP Consultants International Pte Ltd
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Terms & Conditions

I have read and understood the terms and conditions below:

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1. The organiser reserves the right to cancel or make any adjustments to the event.
2. Enrolment is on a first-come-first-served basis.
3. If you are unable to attend, a replacement is welcome.
4. Strictly no cancellation & refund is allowed after confirmation, however a substitute delegate is welcome at no extra cost.
5. Registration is transferable to another person, in writing, at least five working days prior to the commencement of the program.
6. Enrolment confirmation will be sent via e-mail to each enrollee on receipt of each enrolment.
7. **Payments are required with registration and must be received prior to the event to guarantee your place.**

Walk-in participants will only be admitted on the basis of space availability at the event and with immediate full payment. Please note that handouts may not be available during the seminar for walk-in participants.



FP Consultants International Pte Ltd
 371 Beach Road, #02-08B Key Point, (199597)
 Fax: [65] 6298 9819 | Tel: [65] 6298 9823
 Email: info@fp-consultants.com
 www.fp-consultants.com